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Intraoperative image guidance for cervical spine surgery

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Contributions: (I) Conception and design: S Kirnaz, H Gebhard, R Nangunoori; (II) Administrative support: S Kirnaz, H Gebhard, T Wong, K Sato; (III) Provision of study materials or patients: S Kirnaz, H Gebhard; (IV) Collection and assembly of data: S Kirnaz, H Gebhard, T Wong, FA Schmidt; (V) Data analysis and interpretation: S Kirnaz, H Gebhard; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

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Abstract: Intraoperative image-guidance in spinal surgery has been influenced by various technological developments in imaging science since the early 1990s. The technology has evolved from simple fluoroscopic-based guidance to state-of-art intraoperative computed tomography (iCT)-based navigation systems. Although the intraoperative navigation is more commonly used in thoracolumbar spine surgery, this newer imaging platform has rapidly gained popularity in cervical approaches. The purpose of this manuscript is to address the applications of advanced image-guidance in cervical spine surgery and to describe the use of intraoperative neuro-navigation in surgical planning and execution. In this review, we aim to cover the following surgical techniques: anterior cervical approaches, atlanto-axial fixation, subaxial instrumentation, percutaneous interfacet cage implantation as well as minimally invasive posterior cervical foraminotomy (PCF) and unilateral laminotomy for bilateral decompression. The currently available data suggested that the use of 3D navigation significantly reduces the screw malposition, operative time, mean blood loss, radiation exposure, and complication rates in comparison to the conventional fluoroscopic-guidance. With the advancements in technology and surgical techniques, 3D navigation has potential to replace conventional fluoroscopy completely.

Keywords: Navigation; robotics; cervical spine; unilateral laminotomy for bilateral decompression (ULBD); fusion

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Introduction

Intraoperative image-guidance in spinal surgery has been influenced by various technological developments in imaging science since the early 1990s. This technology has evolved from simple fluoroscopic-based guidance to state-of-art intraoperative computed tomography (iCT)-based navigation systems (1,2). The use of computer-based intraoperative navigation allows for a better understanding

of complex spinal anatomy, higher accuracy, reduced radiation exposure, shorter operative time, and decreased complication rates (3-5). It is mainly used for localization, instrumentation, incision planning, and ensuring the adequacy of decompression in several different surgical techniques. Minimally invasive spine surgery has greatly benefited from these advancements due to the limited direct visualization inherent to this technique (6).

Although intraoperative navigation is more commonly

used in thoracolumbar spine surgery, it has rapidly gained popularity in cervical approaches (6). The cervical anatomy poses unique surgical challenges, particularly in high cervical levels due to the presence of the spinal cord, nerve roots, vertebral arteries as well as its relatively smaller bony fixation points for instrumentation (7). Moreover, the appropriate localization of index levels may be more difficult in the lower cervical spine with standard intraoperative fluoroscopy. For aforementioned reasons, the use of intraoperative 3D navigation offers many opportunities in cervical spine surgery, specifically with streamlining surgical workflow, decreasing invasiveness, and improving the accuracy of instrumentation (8).

The purpose of this review is to address the applications of advanced image-guidance in cervical spine surgery and to describe the use of intraoperative neuronavigation in surgical planning and execution. In this review, we aim to cover the following surgical techniques: anterior cervical approaches, atlanto-axial fixation, subaxial instrumentation, percutaneous interfacet cage implantation as well as minimally invasive posterior cervical foraminotomy (PCF) and unilateral laminotomy for bilateral decompression.

Anterior cervical approaches

After its first introduction in 1958, anterior cervical discectomy and fusion (ACDF) has become one of the most commonly performed surgical procedures for single and multi-level cervical degenerative disc disease, infection, and neoplastic pathologies (9-12). Although conventional ACDF with fluoroscopy is a well-established procedure, there are some complications including dysphagia and degeneration of the adjacent segments. Comparatively, endoscopic procedures with smaller incision size have been successfully applied which could reduce the risk of dysphagia and adjacent segment degeneration (13,14). As such, navigation technology is expected to provide important anatomic information, particularly in minimally invasive techniques (15,16). Although the available data on the utilization of this technology for anterior cervical surgery is limited, the advantages have been described for several special circumstances including corpectomies, tumor resections, revision surgeries, and cases that involve the C-T junction (7).

The usage of navigation essentially requires placing the reference array with stability. Placement of the reference array can be challenging in anterior cervical procedures due to the supine positioning of the patient and a lack of reliable bony landmarks (7). For that reason, the reference

array is often attached to either a skull clamp head-holder or surgical table (17). Alternatively, a skin-fixed dynamic reference frame can be used as well (18).

In the lower cervical and upper thoracic spine, visualization of the levels with fluoroscopic-guidance can be more difficult. Therefore, in these particular cases, the risk of wrong level surgery can be improved by using 3D navigation (17). 3D navigation can significantly decrease operating time and radiation exposure to the OR staff by preventing frequent interruptions from multiple fluoroscopy scans (8,19). Specifically, revision surgeries can pose as technical challenges due to an elevated risk of complications in the setting of disturbed anatomy and absence of bony structures (7). In such cases, the benefits of navigation can be greater. For anterior cervical corpectomies, the extent of bone removal and middle point of the vertebral body can be determined with 3D navigation after the anterior aspect of spine has been exposed, allowing surgeons to perform a wide and symmetric corpectomy without injuring vertebral arteries (7,17) (*Figure 1*). In tumor cases, navigation plays a key role in localizing both the vertebral arteries and borders of the soft-tissue or osseous mass (7). Insertion of anterior cervical screws cage can be planned with navigation-guidance and the accuracy can be comparable to insertion with fluoroscopy (20-22).

Posterior cervical approaches

C1-2 fusion

The atlantoaxial area differs from the other functional units of the lower cervical spine by its unique features (23). Several pathologies such as trauma, rheumatoid arthritis, infections, tumors, congenital malformations, genetic disorders with inherent ligamentous laxity, and degenerative conditions can lead to atlantoaxial instability (24,25). For aforementioned cases, many different C1-2 fixation and fusion techniques were described with the aim to re-establish stabilization (26-28). Compared to other atlantoaxial fixation options, the highest biomechanical stability and fusion rates are provided by posterior transarticular screws C1/2, which were described by Magerl *et al.* in 1987 (29,30). However, anatomical and radiological studies showed that 18% to 23% of patients may not be suitable candidates for posterior C1-2 transarticular screw fixation due to the anomalous course of the vertebral artery, especially in cases of a high-riding transverse foramen at the C2 level; therefore, it's essential to assess the anatomy

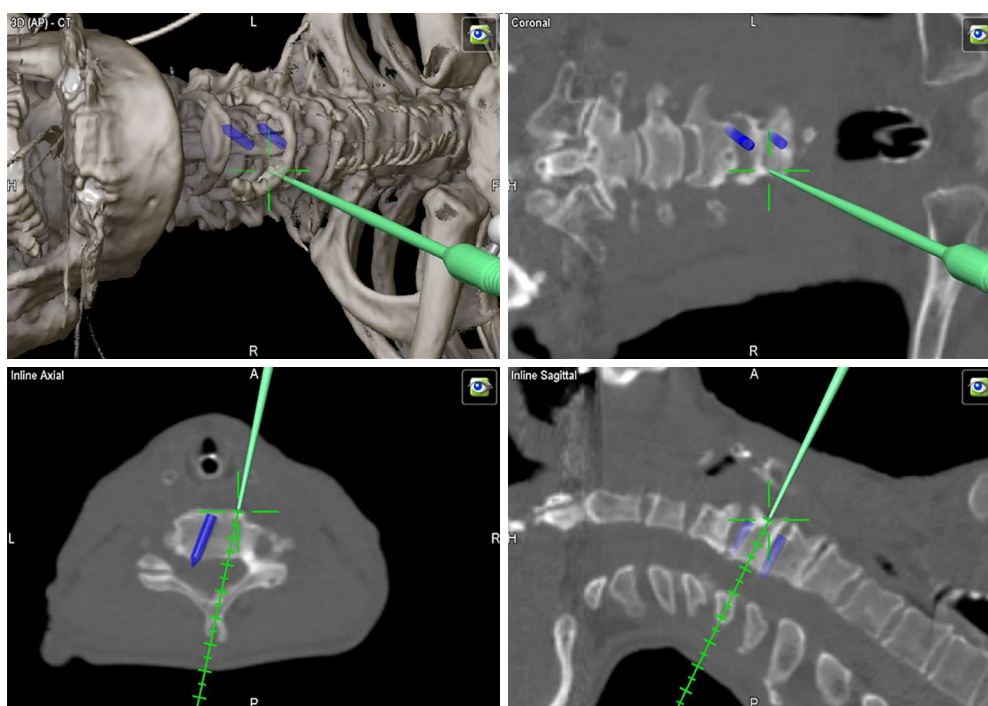


Figure 1 Intraoperative screenshots present a C5 corpectomy case. The lateral aspect of the corpectomy is confirmed with navigation. Blue virtual shapes are used to localize C4–5 and C5–6 disc spaces.

of the vertebral arteries via a preoperative CTA (31–34). In such cases, C1 lateral mass screws (LMS) together with C2 pedicle screws can be used for fixation, which was initially described by Goel *et al.* in 1994 (35) and modified by Harms *et al.* (36), using a polyaxial screw-rod construct in 2001. Moreover, in more recent studies, this technique also showed comparable outcomes to transarticular screws in terms of biomechanical stability (37).

Computer-assisted 3D navigation systems allow a more precise intraoperative image-guidance in atlantoaxial fixation surgery by improving the accuracy of screw placement, accelerating surgical workflow, and reducing intraoperative blood loss and radiation dose in comparison to the traditional fluoroscopy-guided surgical method (38–41). Yang *et al.* (42) reported that usage of intraoperative 3D navigation significantly decreased the screw breach rate, operative time, mean blood loss, and radiation time in comparison to the conventional C-arm in patients who undergo a C1–2 fixation with Harms technique. In two other studies, both Hitti *et al.* (43) and Harel *et al.* (44) showed that utilization of O-arm based intraoperative navigation reduced estimated blood loss by 50% in comparison to fluoroscopic guidance. On the other hand,

similar improvements were observed with the utilization of intraoperative navigation for placement of C1–2 transarticular screws when Yang *et al.* demonstrated the superiority of the 3D C-arm over conventional fluoroscopy in terms of accuracy, estimated blood lost, and radiation time (45). More recently, Tian *et al.* reported a case in which they placed a unilateral C1–2 transarticular screw accurately without any complications with robotic guidance (46).

For the surgical procedure, the patient is placed in a prone position and the head is fixated on a Mayfield skull clamp. The reference array is attached to the head clamp. In cases that involve fractures, reduction must first be achieved by traction on each side and the appropriate alignment is then confirmed by lateral fluoroscopy. Once the posterior arches of C1–C3 are exposed, the navigation probe is used to determine the insertion point and craniocaudal/mediolateral direction of the transarticular screw which is aimed toward the upper half of the C1 anterior arch (Figure 2). When transarticular screws are placed percutaneously, the stab incision location and length can be planned accordingly using 3D navigation. Then an autologous bone graft harvested from the posterior iliac crest is placed between the C1 and C2 arches. If transarticular screws are

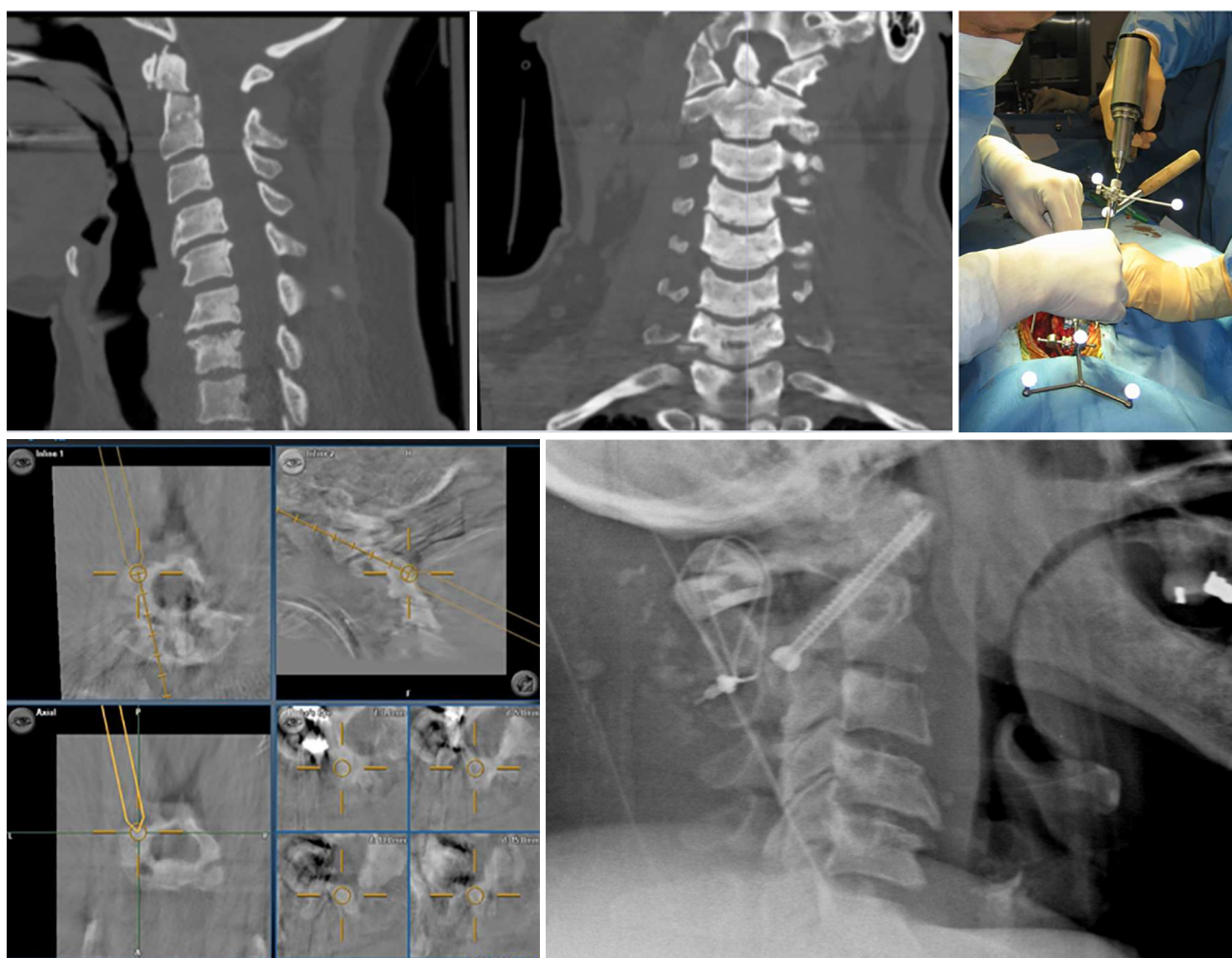


Figure 2 Transarticular C1/2 screw positioning with Magerl technique, the entry points as well as the screw trajectory is planned with intraoperative 3D navigation.

not feasible due to high riding transverse foramen or other anomalies of the vertebral artery, C1 LMS in combination with C2 pedicle/pars screws should be preferred (*Figure 3*).

Subaxial cervical instrumentation

LMS

LMS were first applied in subaxial cervical spine stabilization by Roy-Camille in 1964, leading to the replacement of pioneer wiring techniques (47). Over the next few years, many different modifications of this technique and their respective safety profiles were developed and shown (48-53). These techniques each have their own distinctive angulations, trajectories, and entry points, all of which are performed with fluoroscopic-guidance. The most common

complications associated with LMS are vertebral artery injury, facet violation, or lateral mass fractures (54,55). In order to decrease the risk of complications, the trajectory of LMS is usually aimed to be between 20 to 30 degrees laterally and cranially (53). Nevertheless, intraoperative 3D navigation eases the planning of the screw insertion point, trajectory, and even screw length (*Figure 4*). Arab *et al.* reported that the LMS malpositioning significantly decreased with intraoperative CT-based 3D navigation-guidance (56).

Posterior cervical pedicle screws

Pedicle screw fixation is considered the gold standard in lumbar and thoracic spine surgery; however, it has adapted slowly for use in the cervical spine due to barriers such as the smaller pedicle size and potential risk of

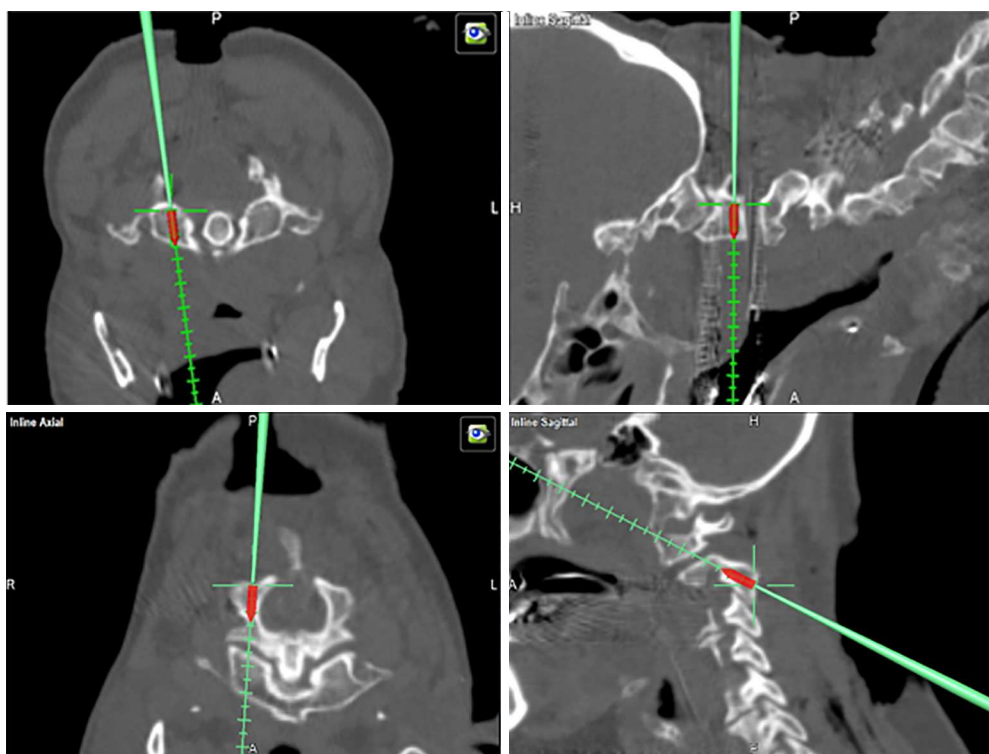


Figure 3 C1 lateral mass and C2 pars screws positioning with Harms technique, the entry points as well as the screw trajectory is planned with intraoperative 3D navigation.

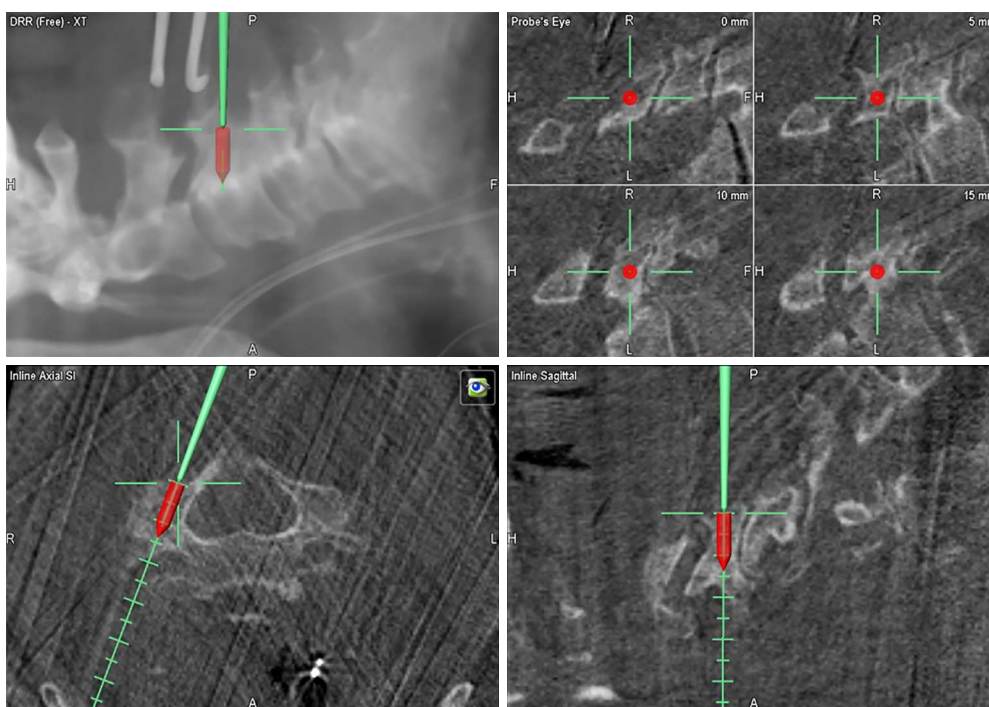


Figure 4 Intraoperative 3D navigation pictures showing the trajectory and position of the LMS.

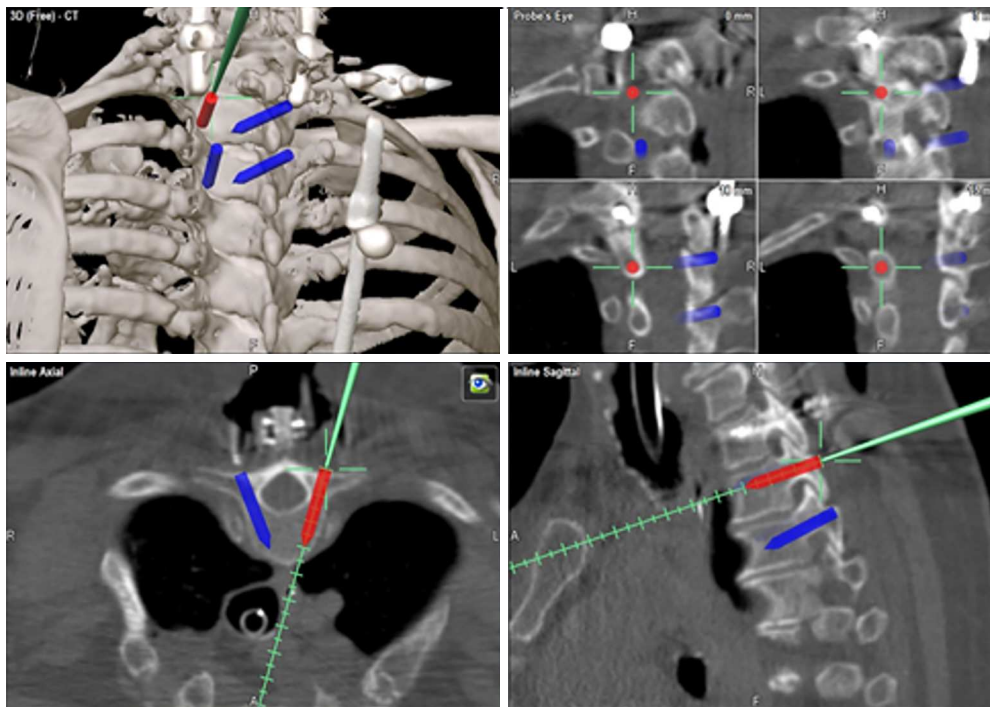


Figure 5 Pedicle screw placement in the lower cervical and upper thoracic spine with intraoperative 3D navigation.

injuring neighboring neuro-vascular structures (57-59). Nevertheless, it is demonstrated that cervical pedicle screws provide better biomechanical stability and stronger pull-out strength than other fixation techniques and therefore, potentially require shorter instrumentation constructs (60-62). There is possible risk of neurovascular injury related to placement of the cervical pedicle screw, and vertebral artery injury is an especially noteworthy complication (63). The complication risk can be minimized by evaluating dimensions of the patients' pedicles and avoiding the application of this technique in non-suitable patients (20).

It has been shown that cervical pedicle screws can be placed safely and more accurately with navigation systems that determine entry points, angulations, and trajectories of the pedicle screws (20,64-68). Several studies reported high misplacement rates from 6.7% to 29.1% with the conventional non-navigated cervical pedicle screw technique (53,57,69-71). On the other hand, the literature reported perforation rates that ranged from 2% to 2.8% with 3D fluoroscopy-guidance (65,67). Equivalent accuracy rates were shown by several surgeons who used intraoperative O-arm based navigation systems (64,66). In another study published by Shimokawa *et al.* (68) found higher accuracy rates with intraoperative 3D navigation in cervical and

thoracic pedicle screw placement compared to preoperative CT-based systems (97.1% *vs.* 93.6%). The radiation exposure to the patient and OR staff during pedicle screw placement is another concern regarding intraoperative image guidance. Nottmeier *et al.* reported that the cervical anatomy can be visualized adequately and efficiently even for obese and osteoporotic patients when using the O-arm based intraoperative navigation system, reducing radiation exposure to patients by up to 40% (8).

From a technical perspective, the patient is positioned prone and the head is fixed using either a horse shoe or three-point cranial clamp. The reference array is usually placed on the spinous process, preferably in close proximity to the index levels. In cases in which the reference array is attached to Mayfield head-holder, the accuracy should be checked periodically. After the intraoperative scan is performed and images are uploaded, the entry points, screw trajectory, length, diameters, and incision line are planned in the axial, sagittal, and coronal planes with 3D navigation for percutaneous assisted cannulated screw placement (72,73) (Figure 5). This step plays a key role in reducing the risks of screw pullout and breaches by optimizing the outer diameter and length of the screw in relation to the inner diameter of the pedicle (74-76). A high-speed drill is usually

used for preparation of the pilot holes for pedicle screw insertion. The use of the drill allows surgeons to understand the bony resistance and minimizes antero-posterior forces during the creation of pilot holes. Next, the pedicle screws are tapped and the accuracy is confirmed by the navigation probe. Laminectomy should be performed at the end if it is indicated, as the bony lamina can serve as a protective barrier to the dura during instrumentation.

Percutaneous interfacet cage implantation

Cervical facet joint distraction was initially described by Goel *et al.* for the treatment of basilar invagination in early 2000s (77) and later, this technique was used to achieve indirect decompression for single-level cervical radiculopathy/myelopathy (78-81). More recently, minimally invasive percutaneous interfacet cage implants (e.g., DTRAX; Providence Medical Technology, Inc., Pleasanton, CA) have become alternatives to traditional open posterior cervical fusion with LMS (82-84). This technology is mainly indicated for foraminal stenosis, facet mediated pain, pseudoarthrosis, and adjacent level compromise following a prior ACDF. In a cadaveric study, Voronov *et al.* (85) demonstrated that bilateral interfacet cages can provide comparable segmental stability to posterior cervical fusion with LMS. Siemionow *et al.* performed postoperative radiographic analysis on patients who underwent posterior cervical fusion using bilateral interfacet cages to demonstrate bilateral interfacet cages can increase foraminal area (83). McCormack *et al.* reported that significant improvement in clinical outcomes up to one year after percutaneous posterior cervical fusion with interfacet cages (82). Interafacet cage implantation can be a safe alternative to other cervical spinal fusion surgeries with a favorable complication profile (84,86). To date, there is no data available on the use of 3D navigation for interfacet cage implantations.

For the surgical procedure, the patient is placed in the prone position and attached to a Mayfield head holder. During this procedure, SSEP and MEP neuromonitoring should be utilized. Although fluoroscopy alone can be used, 3D-navigation can facilitate implant placement due to its ability to visualize the trajectory in the coronal, axial, and sagittal planes. 3D-navigation is used to identify both the medial and lateral aspect of the facet (*Figure 6*) (87). The procedure begins with the insertion of the guide tube. In order to avoid damage to the nerve root, the access chisel should enter the joint following a medial to lateral

trajectory similar to cervical lateral mass screw placement and also, remain collinear to the joint. A pineapple tipped decorticating burr is used to ream out the inside of the facet joint. Once the burr is removed, a cage with bone graft is inserted via the guide tube and bone screws are used to fixate it into the inferior articulating facet. All instruments except the guide tube is removed. Then, additional bone graft material is added onto the joint via the guide tube. Finally, the guide tube is removed and the wound is irrigated and closed in a routine fashion.

PCF

PCF is a well-established surgical technique among operative treatments for unilateral radiculopathy (88-90). This technique was initially described in the 1940s (91,92) and later, its minimally invasive modifications were developed by adapting tubular retractors and endoscopes (93,94). It has been shown that PCF has comparable clinical outcomes with conventional ACDF for the treatment of unilateral cervical radiculopathy while the risk of complications including dysphagia, recurrent laryngeal nerve injury, and adjacent segment disease is significantly reduced (95-97). The risk of requiring a revision fusion at the index level for patients undergoing PCF ranged from 1.1% to 5% in the literature (98,99). Moreover, minimally invasive PCF has several advantages over the traditional open technique in terms of blood loss, operation times, inpatient analgesic use, and length of hospital stays (93,100). However, certain difficulties exist when performing a minimally-invasive PCF due to the limited visibility provided through a tubular retractor, and can be even more challenging in the lower cervical spine and C-T junction of obese patients with short and thick necks (100). Herein, the use of 3D navigation-guidance facilitates the surgical workflow, assists in deciding the boundaries of foraminotomy, and allows a safe and efficacious decompression at the intended level (87,101). Similarly, 3D navigation enables surgeons to perform a safe and efficient full endoscopic PCF where it provides great accuracy and helps to overcome the limited vision under the endoscope (102).

For the surgical procedure, the patient is placed in a prone position with rigid head fixation and the reference array is attached to either a skull clamp head-holder or over the cervicothoracic junction. A 2-cm paramedian incision is then made in the skin and cervical fascia, of which is planned via 3D navigation-guidance. In general, a 14- or 16-mm tubular retractor is docked following the serial insertion of sequential dilators. The accuracy of intraoperative

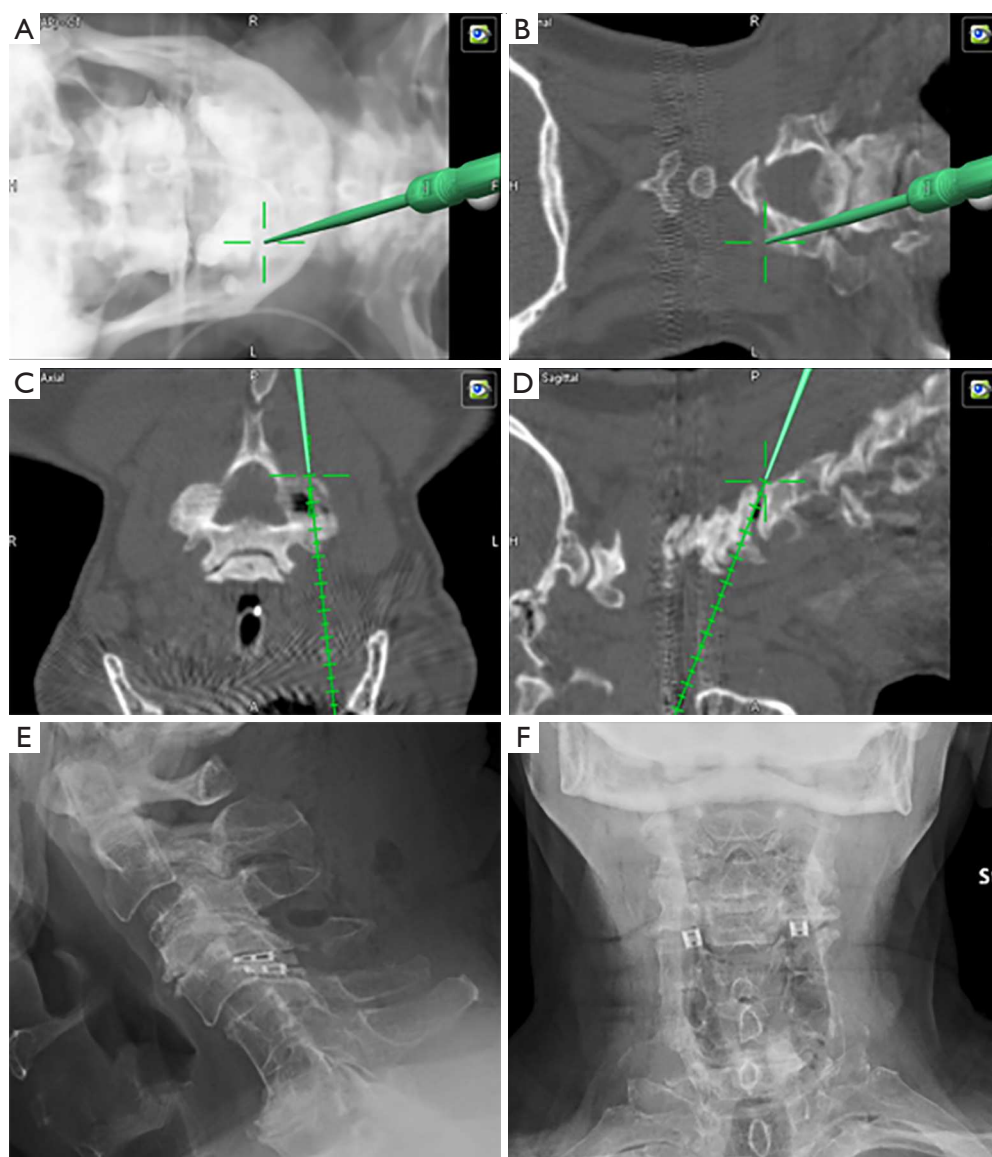


Figure 6 Intraoperative 3D navigation screenshots demonstrating the trajectory and ultimate target of the interfacet joint cage (A,B,C,D). Postoperative (E) lateral and (F) anteroposterior radiographs following bilateral interfacet joint cage implantation. With permission of ref. (87).

navigation should be confirmed at this point and then, the shape and extent of the foraminotomy can be decided (*Figure 7*). Typically, bone drilling begins at the V-point (the lateral aspect of the superior and inferior hemilamina and medial third of the facet joint) using a high-speed burr. Data suggests that removing greater than 50% of the facet joint should be avoided since it can cause segmental hypermobility (103,104). Then, Kerrison rongeurs are used to remove the ligamentum flavum and widen the foraminotomy. At this point, the lateral edge of the dural

sac as well as the branching nerve root are identified, and nerve hooks are used to retract the exiting nerve while the discectomy is completed using micropituitary rongeurs. In the end, the navigation probe is used to ensure achievement of sufficient decompression.

Cervical unilateral laminotomy for bilateral decompression

In 1997, Spetzger *et al.* introduced the “unilateral laminotomy for bilateral decompression” (ULBD)

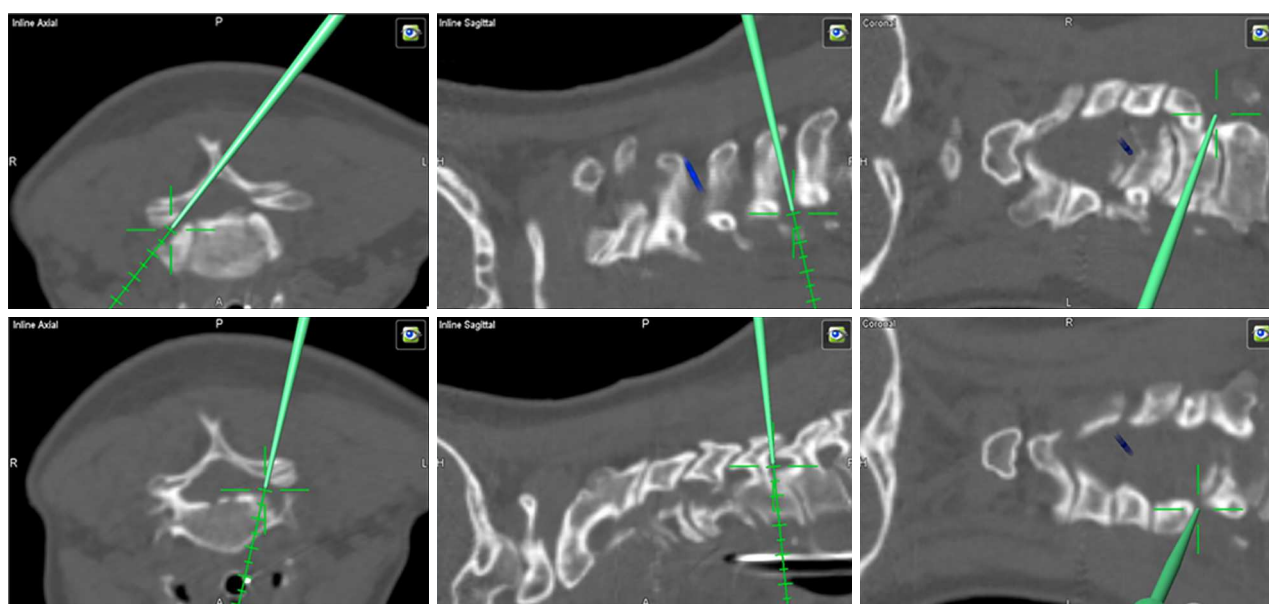


Figure 7 Intraoperative 3D navigation pictures presenting a PCF case. With permission of ref. (87).

technique for lumbar spinal stenosis (105). In following years, this surgical concept has been adapted for treatment of cervical spine and has become one of the main principles of minimally invasive spine surgery (106). Although there are various treatment options for cervical spondylotic myelopathy, each procedure has both advantages and disadvantages associated with manipulation. The conventional laminectomy-alone may contribute to a late cervical kyphotic deformity, late neurologic deterioration and postoperative axial symptoms (107-109). Minimally invasive cervical ULBD can be a better alternative to open the laminectomy for treatment of single or multilevel cervical spondylotic myelopathy (110,111) (*Figure 8*). Although the literature lacks the data comparing open cervical laminotomy to minimally ULBD, Minamide *et al.* reported that endoscopic ULBD demonstrated similar neurological outcomes with maintaining sagittal alignment and less axial symptoms compared to open cervical laminoplasty in their 5-year cohort study (112).

The technical aspect of the procedure involves positioning the patient prone with the head immobilized in a 3-pin skull clamp with the reference array attached. The incision site is determined based on total 3D navigation and then, a 2.5-cm incision is made approximately 2 cm off the midline. After incising the fascia, the first dilator is then passed through the created plane to dock onto the lamina and subsequently, serial dilation is performed. Later, a 16–

18 mm tubular retractor is placed and the triangle formed by the lamino-facet junction is identified with the assistance of the pointer. The bony removal begins at the inferior aspect of the cranial lamina using a combination of a high-speed drill and Kerrison ronguers until the cranial insertion of the ligamentum flavum is reached, often indicated by the epidural fat. Next, the superior aspect of the caudal lamina is drilled away. Following this step, the tubular retractor should be tilted to aim medially and the operating table should be rotated away from the surgeon to grant the surgeon an appropriate trajectory for the performance of the contralateral decompression, undercutting the spinous process. The ventral surface of the contralateral lamina is drilled away without removing the ligament flavum to protect the spinal cord. At this point, it's important to avoid applying downward pressure on the spinal cord. Once the ligamentum flavum has been mobilized away from its attachments, Kerrison ronguers are used for removal of the ligamentum flavum. Finally, the adequate decompression in the contralateral side is confirmed using 3D navigation-guidance (*Figure 9*).

Conclusions

The introduction of navigation and intraoperative image-guidance revolutionized spine surgery and provided great benefits particularly for minimally invasive approaches.

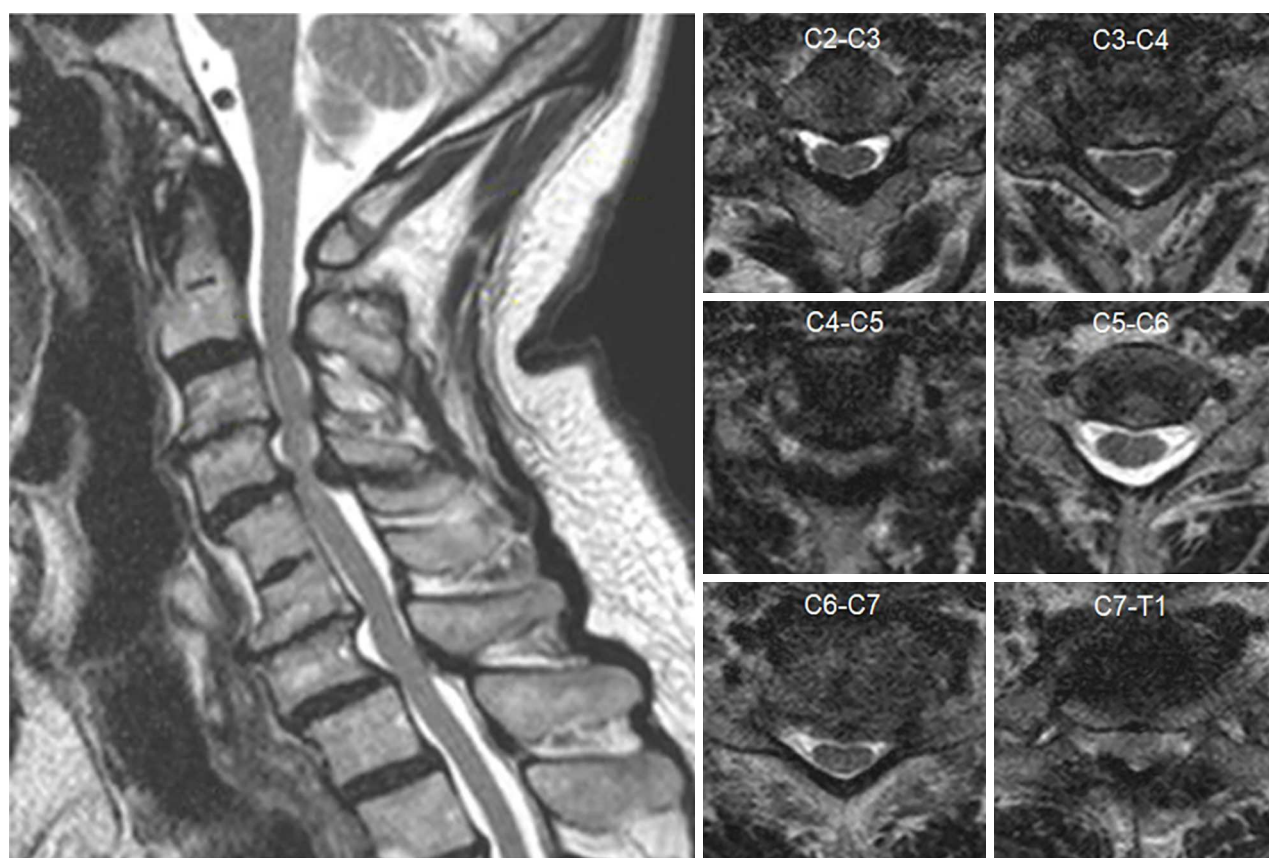


Figure 8 Multi-level cervical disc disease and spinal canal stenosis. With permission of ref. (87).

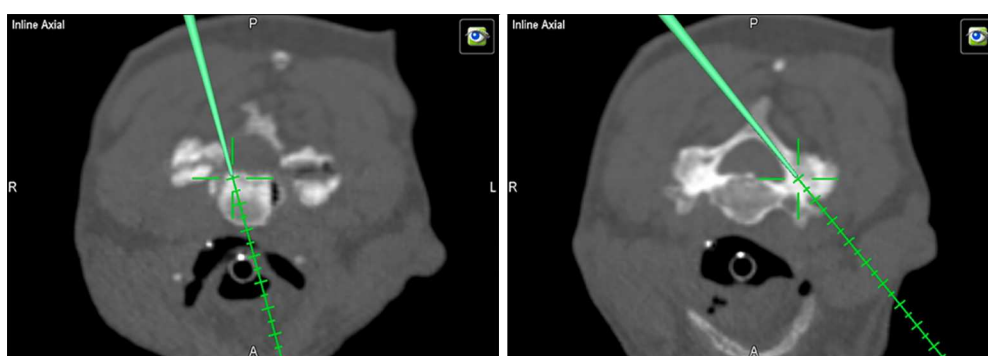


Figure 9 Unilateral laminotomy for “over the top” bilateral decompression. Intraoperative 3D navigation facilitates adequate contralateral decompression. With permission of ref. (87).

Although the intraoperative navigation is more commonly used in thoracolumbar spine surgery, it has rapidly gained popularity in cervical approaches. Placement of the reference array remains the primary limitation of navigation systems in the cervical spine. Literature suggested that the use of 3D navigation significantly reduces the screw

malposition, operative time, mean blood loss, radiation exposure, and complication rates in comparison to the conventional fluoroscopic-guidance. Although 3D navigation has potential to replace conventional fluoroscopy, further evidence is needed to establish the superiority of navigation over conventional fluoroscopy in specific

procedures for cervical spine.

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